

**SCHMEISER, OLSEN & WATTS LLP****PATENTS, TRADEMARKS & COPYRIGHTS**

ALBERT L. SCHMEISER \*  
 ARLEN L. OLSEN, MS \*  
 JOHN E. GIBSON  
 JACK P. FRIEDMAN, Ph.D. \*  
 KRISTEN L. ASHDOWN \*  
 KENNETH C. BOOTH  
 JOSEPH J. CHRISTIAN \*  
 KEITH L. JENKINS, MS \*  
 PACER K. UDALL  
 SANDER M. RABIN, MD \*  
 DIANE E. SMITH †  
 ANTHONY M. PALAGONIA †  
 DAPHNE G. FOLEY †

18 E. UNIVERSITY DR., STE. 101  
 MESA, ARIZONA 85201-5946  
 (480) 655-0073  
 FACSIMILE (480) 655-9536  
[www.iplawusa.com](http://www.iplawusa.com)  
 E-MAIL - AZ@iplawusa.com

**OTHER OFFICE**

3 LEAR JET LANE, SUITE 201  
 LATHAM, NY 12110  
 (518) 220-1850  
 FACSIMILE (518) 220-1857  
 E-MAIL - NY@iplawusa.com

**TECHNICAL STAFF**

PETER W. EISSMANN, MIP  
 JERRY F. DUDDING, Ph.D.

**PARALEGALS**

LISA A. MOLLOY  
 JOYCE PATRICK-BAI, MS  
 TAMRA L. WHITE  
 KIMBERLY A. DWILESKI

**OF COUNSEL**

CHARLES T. WATTS ††

\* OTHER THAN ARIZONA BAR  
 † PATENT AGENT  
 †† RETIRED

**FACSIMILE COVER SHEET**

**TO:** Wan Laymon

**COMPANY:** U.S. Patent and Trademark Office

**DESTINATION FACSIMILE NUMBER:** 703-308-6916

**FROM:** Tammy White

**FAX RECEIVED**

**DATE:** December 17, 2002

**DEC 17 2002**

**NUMBER OF PAGES:** 5  
**(DOES NOT INCLUDE COVER)**

**PETITIONS OFFICE**

**MESSAGE:** Pursuant to your request, following is a copy of an office action sent via facsimile to Examiner Steven H. Rao on 11/08/2001 regarding serial no. 09/105,739, along with the confirmation of transmission.

**IF YOU DO NOT RECEIVE ALL OF THE TRANSMITTED PAGES, PLEASE CALL (480) 655-0073. THANK YOU.**

**CLIENT/MATTER: BU9-97-149/IBMB-9494.**

The information contained in or attached to this facsimile message is attorney's PRIVILEGED AND CONFIDENTIAL information intended only for the person or entity named above. If you are not the intended recipient (or someone responsible to deliver it to the intended recipient), please be aware that any dissemination or copying of this communication is STRICTLY PROHIBITED. If you receive this communication in error, please notify us by telephone immediately at (480) 655-0073.

**CERTIFICATE OF TRANSMISSION BY FACSIMILE (37 CFR 1.8)**

Applicant(s): Bronner, et al.

Docket No.

BU9-97-149

Serial No.  
09/105,739Filing Date  
06/26/98Examiner  
Rao, S.Group Art Unit  
2814

Invention:

**SELF-ALIGNED SURFACE-TRAP MINT DRAM CELL**

I hereby certify that this

**Corrected Pages of Office Action Response**

(Identify type of correspondence)

is being facsimile transmitted to the United States Patent and Trademark Office (Fax. No. 703/746-3926)on November 8, 2001

(Date)

**Tammy White**

(Typed or Printed Name of Person Signing Certificate)

(Signature)

**FAX RECEIVED****DEC 17 2002****PETITIONS OFFICE**

Se 16 aliage  
 w/ storage  
 copy in  
 + trans fer devia  
 to form w/ other  
 memori cell devia

**SCHMEISER, OLSEN & WATTS LLP****PATENTS, TRADEMARKS & COPYRIGHTS**

ALBERT L. SCHMEISER \*  
 ARLEN L. OLSEN, MS \*  
 S. JARED PITTS  
 JOHN E. GIBSON  
 JACK P. FRIEDMAN, Ph.D. \*  
 KRISTEN L. ASHDOWN \*  
 KENNETH A. NELSON, MS  
 SUSAN SUTTERFIELD WILKS, MS  
 KENNETH C. BOOTH  
 JOSEPH J. CHRISTIAN \*  
 KEITH L. JENKINS, MS \*  
 PACER K. UDALL  
 DIANE E. SMITH †  
 ANTHONY M. PALAGONIA †

OF COUNSEL

CHARLES T. WATTS ††  
 FREDRIC T. MORELLE, MS \*  
 WILLIAM A. TEOLI, MS \*  
 JEFFREY L. THOMPSON \*

\* OTHER THAN ARIZONA BAR  
 † PATENT AGENT  
 †† RETIRED

18 E. UNIVERSITY DR., STE. 101  
 MESA, ARIZONA 85201-5946  
 (480) 655-0073  
 FACSIMILE (480) 655-9536  
[www.iplawusa.com](http://www.iplawusa.com)  
 E-MAIL - AZ@iplawusa.com

OTHER OFFICES

3 LEAR JET LANE, SUITE 201  
 LATHAM, NY 12110  
 (518) 220-1850  
 FACSIMILE (518) 220-1857  
 E-MAIL - NY@iplawusa.com

7944 SANTA FE DRIVE  
 OVERLAND PARK, KS 66204  
 (913) 901-0102  
 FACSIMILE (913) 901-0120  
 E-MAIL - KS@iplawusa.com

TECHNICAL STAFF

PETER W. EISSMANN, MIP  
 ELIZABETH C. GREY  
 JERRY F. DUDDING, Ph.D.

PARALEGALS

LISA A. MOLLOY  
 JOYCE PATRICK-BAI, MS  
 TAMBRA L. WHITE

**FACSIMILE COVER SHEET**

**TO:** Examiner Steven H. Rao

**COMPANY:** USPTO

**DESTINATION FACSIMILE NUMBER:** 703 746 3926

**FROM:** S. Jared Pitts (Reg No. 38,579)

**FAX RECEIVED**

**DATE:** November 8, 2001

**DEC 17 2002**

**TIME:** 12:55 PM

**PETITIONS OFFICE**

**NUMBER OF PAGES: 3**  
**(DOES NOT INCLUDE COVER)**

**MESSAGE:** Corrected Pages for office action response for 09/105,739

**IF YOU DO NOT RECEIVE ALL OF THE TRANSMITTED PAGES, PLEASE CALL  
 (480) 655-0073. THANK YOU.**

**CLIENT/MATTER: BU9-97-149**

The information contained in or attached to this facsimile message is attorney's PRIVILEGED AND CONFIDENTIAL information intended only for the person or entity named above. If you are not the intended recipient (or someone responsible to deliver it to the intended recipient), please be aware that any dissemination or copying of this communication is STRICTLY PROHIBITED. If you receive this communication in error, please notify us by telephone immediately at (480) 655-0073.

## Transmission Report

Date/Time  
Local ID  
  
Local Name  
Company Logo

11- 8-01; 12:53PM  
4806559536  
4809697741  
SCHMEISER OLSEN  
SCHMEISER OLSEN

This document was confirmed.  
(reduced sample and details below)  
Document Size Letter-S

## SCHMEISER, OLSEN &amp; WATTS LLP

## PATENTS, TRADEMARKS &amp; COPYRIGHTS

ALBERT L. SCHMEISER \*  
ARLENE L. OLSEN, MS \*  
J. M. OLSEN  
JOHN R. GORDON  
JACK E. FRIEDMAN, J.D. \*  
ROBERT A. HORNIGTON \*  
ROBERT A. NOLSON, MS  
RUSAN BUTTERFIELD WILKS, MS  
KENNETH C. DOUTH  
ROBERT L. FRIEDMAN \*  
KEITH L. JORDAN, MS \*  
FACIA K. LEWELL  
DIANE R. SCHAFFNER  
ANTHONY V. TALAMONA \*

18 E. UNIVERSITY DR., STE 101  
MESA, ARIZONA 85201-5946  
(480) 655-0077  
FACSIMILE: (480) 655-9136  
[www.schmeiser.com](http://www.schmeiser.com)  
E-MAIL: [AC@schmeiser.com](mailto:AC@schmeiser.com)

OTHER OFFICES:  
3 LEARN RTT LANE SUITE 301  
LAKEWOOD, NY 14218  
(716) 281-1820  
FAXSIMILE: (716) 281-1827  
E-MAIL: [NY@schmeiser.com](mailto:NY@schmeiser.com)

DR. GORDON,  
GRANGER T. WATTS II  
PAULINE T. MORELLI, MS \*  
WILLIAM T. OLSEN, MS \*  
DEPART. THOMPSON \*

7044 SANTA FE DRIVE  
OVERLAND PARK, KS 66204  
(913) 471-4121  
FACSIMILE: (913) 471-4120  
E-MAIL: [K.O@schmeiser.com](mailto:K.O@schmeiser.com)

\* OTHER THAN ARIZONA BAR  
PATENT AGENT  
ADMITTED

TECHNICAL STAFF:  
THOMAS W. NEUMANN, M.D.  
ELIZABETH C. GREY  
TERRY F. DUEDING, P.D.

## FACSIMILE COVER SHEET

EXPERTS:  
LEIGH A. MOLLOY  
JOYCE PATRICK-SALMS  
TAMARA L. WHITE

TO: Examiner Steven H. Rao  
COMPANY: USPTO  
DESTINATION FACSIMILE NUMBER: 703 746 3926  
FROM: S. Jared Pitts (Reg No. 38,579)  
DATE: November 8, 2001  
TIME: 12:55 PM

NUMBER OF PAGES: 3  
(DOES NOT INCLUDE COVER)

MESSAGE: Corrected Pages for office action response for 09/105,739

IF YOU DO NOT RECEIVE ALL OF THE TRANSMITTED PAGES, PLEASE CALL  
(480) 655-0073. THANK YOU.

CLIENT/MATTER: BUD-97-149

The information contained in or attached to this facsimile message is attorney's PRIVILEGED AND CONFIDENTIAL information intended only for the person or entity named above. If you are not the intended recipient for whom it was prepared, do not forward it to the intended recipient. Please be aware that any dissemination or copying of this transmission is STRICTLY PROHIBITED. If you receive this transmission in error, please notify us by telephone immediately at (480) 655-4172.

Total Pages Scanned : 4 Total Pages Confirmed : 4

No.	Doc	Remote Station	Start Time	Duration	Pages	Mode	Comments	Results
1	684	17037463926	11- 8-01; 12:51PM	1'36"	4/ 4	EC		CP 14. 4

## Notes :

EC: Error Correct  
BC: Broadcast Send  
CP: Completed  
HS: Host Scan  
HF: Host Fax

RE: Resend  
MP: Multi-Poll  
RM: Receive to Memory  
HP: Host Print  
HR: Host Receive

PD: Polled by Remote  
PG: Polling a Remote  
DR: Document Removed  
FO: Forced Output  
FM: Forward Mailbox Doc.

MB: Receive to Mailbox  
PI: Power interruption  
TM: Terminated by user  
WT: Waiting Transfer  
WS: Waiting Send